RETAIL ENERGY CODE

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01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

INITIAL ASSESSMENT REPORT

R0012 – HOUSEKEEPING AMENDMENTS TO REC V2

DOCUMENT VERSION	1.0
DATE ISSUED	12/10/2021
DOCUMENT APPROVAL STATUS	APPROVED BY REC CHANGE PANEL
RESPONSIBLE COMMITTEE	CHANGE PANEL

CHANGE OVERVIEW

This change seeks to update the REC with missing Change Proposals that were implemented in previous codes, prior to the implementation of REC V2, that should have been included in the REC V2 drafting. This change also seeks to correct omissions and typographical errors identified by the Code Manager since the implementation of REC V2.

	High Impact: None
	Medium Impact: None
	Low Impact: Energy Suppliers, Distribution Network Operators, Gas Transporters, Metering Equipment Managers, Data Collectors, Meter Administrators

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1 SUMMARY

REC version 2 was implemented by the Authority as part of the Retail Code Consolidation Significant Code Review and took effect on 1st September 2021. The REC V2 drafting was subject to Switching Programme change control to ensure that changes being made to previous codes would be reflected in the REC V2 drafting.

The Code Manager has identified some Change Proposals, implemented in previous codes, that were not reflected in the REC V2 drafting, as well as other omissions and typographical errors in the Code. These need to be corrected to ensure the REC accurately reflects the provisions migrated from previous codes.

The following Change Proposals were implemented into previous codes but have not been reflected in the REC V2 drafting:

- BSC CP1530 – Introduction of a formalised process for the validation of measurement transformer ratios by Elexon
- BSC CP1534 – Introduce Change of Supplier (CoS) – No Meter Process
- SPAA CP 509 – Changes to Backstop Date
- DTC CP 3572 – Changes to the D0268 (Half Hour Meter Technical Details)
- DTC CP 3578 – Sending UMS HH data from the Meter Administrator to HHDC

Other omissions or errors from the REC V2 drafting were:

- Former MRA Schedule 6 - Appendix 1 (MPAS Non-Functional Requirements) is missing from the MRA Transition Schedule
- Definition of 'Working Days' references Scottish Bank Holidays, and should only reference England and Wales
- Other typographical and housekeeping updates identified by the Code Manager.

2 PROBLEM STATEMENT

The implementation of REC V2, as part of the Retail Code Consolidation SCR, was due to consolidate the existing provisions in other codes into the REC on a like-for-like basis. The Code Manager has identified that there were changes made to previous codes that weren’t reflected in the REC V2 drafting that was implemented. This means that the current processes being operated by industry parties are not reflected in the REC.

REC Party's and Service Providers rely on the REC to provide a consistent baseline to ensure the industry operate in an effective and interoperable way. There are currently inconsistencies between the drafting of the REC, and the processes operated by REC Party's and Service Providers, which could cause issues in the effective operation and assurance of the retail energy market.

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3 USER STORIES

REF.	USER STORIES
CONSUMER USER STORIES	
C1	As an Energy Consumer, I need Energy Companies to operate consistent processes, to ensure my energy supply is managed efficiently and to retain my trust in the functioning of the energy market.
MARKET PARTICIPANT USER STORIES	
M1	As a REC Party, I need the REC to provide a consistent baseline, so I can operate in an interoperable way with other Market Participants.

4 IMPACTS

REC PARTIES AND SERVICE USERS
Energy Suppliers
Distribution Network Operators
Gas Transporters
Metering Equipment Managers
Data Collectors
Meter Administrators

REC SERVICE PROVIDERS
Electricity Enquiry Service

CONSUMERS
All Energy Consumers

REC DOCUMENTS / PRODCUTS
Interpretation and Definitions Schedule
Performance Assurance Schedule
Unbilled Energy Code of Practice
Charging Methodology Schedule
Data Access Schedule
Prepayment Arrangements Schedule
Metering Operations Schedule
Metering Accreditation Schedule
MRA Transition Schedule
EES Service Definition
Standards Definition
Market Message Catalogue
Data Item Catalogue

The following impacts have been identified to REC Schedules:

- Interpretation and Definitions Schedule
    - Change to definition of 'Backstop Date' to reflect solution introduced through SPAA CP 509
    - New definition for 'Non-Half Hourly Metering Point'

  - Remove definition for 'Performance Standards' as this isn't used in the Code
  - Amend definition for 'Working Day' to remove reference to Scottish Bank Holidays
  - Amend erroneous reference to “Electricity Registration Data Service” and “Gas Registration Data Service” to “Electricity Retail Data Service” and “Gas Retail Data Service”
  - Amend definition for “RECCo” to reference Clause 5 of the Main Body, instead of Clause 6.
- Metering Operations Schedule
  - Add new General Requirement for CT Ratio and VT Ratio values to conform to the valid set published by BSCCo to reflect the solution introduced by CP1530
  - Add a new process for Electricity Change of Supplier (No Meter) to reflect the solution introduced by CP1534
- MRA Transition Schedule
  - Add a new Appendix 2 for MPAS Provider Non-Functional Requirements to reflect previous provisions on MRA Schedule 6 - Appendix 1
  - Correct erroneous reference to escalations being sent by email in Appendix B of Section 16, to reference use of the Secure Data Exchange Portal
- Data Access Schedule, Performance Assurance Schedule, Unbilled Energy Code of Practice, Charging Methodology and Metering Accreditation Schedule
  - Correct typographical errors

The following impacts have been identified to the Data Specification:

- Standards Definition
  - Addition of Meter Administrator as a new Market Data Service to reflect the solution introduced by DTC CP 3578
- Market Message Catalogue
  - Amendments to D0268, D0150 and D0313 to reflect the solution introduced by DTC CP 3572
  - Amendments to D0379 to reflect the solution introduced by DTC CP 3578
- Data Item Catalogue
  - Amendments to J0385 and introduction of new Data Items J2263, J2264, J2265 and J2266 to reflect solution introduced by DTC CP 3572

The following impacts have been identified to the Service Specification:

- Electricity Enquiry Service Definition

- Addition of missing report currently provided to the Code Manager
- Correction of typographical error

The five Change Proposals that are missing from the REC V2 drafting had impacts on a number of Market Participants which is summarised in the table below. For the avoidance of doubt, these Change Proposals have already been implemented and are in operation and this Change Proposal does not seek to introduce new obligations on Market Participants:

CHANGE PROPOSAL	IMPACTED MARKET PARTICIPANTS
BSC CP1530 – Introduction of a formalised process for the validation of measurement transformer ratios by Elexon	• Electricity Metering Equipment Managers • Distribution Network Operators • Electricity Suppliers • Data Collectors • BSCCo
BSC CP1534 – Introduce Change of Supplier (CoS) – No Meter Process	• Electricity Suppliers • Electricity Metering Equipment Managers • Distribution Network Operators • Data Collectors
SPAA CP 509 – Changes to Backstop Date	• Gas Suppliers • Gas PPMIP
DTC CP 3572 – Changes to the D0268 (Half Hour Meter Technical Details)	• Electricity Metering Equipment Managers • Distribution Network Operators • Electricity Suppliers • Data Collectors
DTC CP 3578 – Sending UMS HH data from the Meter Administrator to HHDC	• Meter Administrators • Data Collectors

4.1 CROSS CODE IMPACTS

Three of the missing Change Proposals were implemented under MRA and SPAA governance, which are no longer in operation. Two of the missing changes were implemented by the BSC and impact on the BSC arrangements. However, implementing these in the REC drafting does not have any direct impacts on the BSC as this is correcting a previous omission that should have been included in the original REC V2 drafting.

5 CHANGE PATH AND RESONSIBLE COMMITTEE

CHANGE PATH	SELF-GOVERNANCE
RESPONSIBLE COMMITTEE	CHANGE PANEL

This is a housekeeping Change Proposal which impacts on various REC Schedules and the Technical Specification. The decision on the Change Proposal should therefore be made by the Change Panel.

6 PRIORITY STATUS AND URGENCY

PRIORITY STATUS	HIGH
URGENCY	STANDARD

The Priority Status for this Change Proposal is 'High' based on a 'Significance' score of '2' and an 'Urgency' score of '2'. This is broken down as below:

Significance:

- Legal/Regulatory Compliance – score of 2 to reflect that there are material inconsistencies in the current REC drafting that could result in REC Party's operating the correct industry process, but appearing to be non-compliant with the REC. This also considers the risk of a REC Party designing their systems and processes based on the current drafting in the REC where this does not reflect the agreed industry process.
- Strategic Direction – score of 1 to reflect the need to facilitate the REC's mission statement to facilitate the efficient and effective running of the energy market, including its systems and processes.
- Consumer Impacts – score of 2 to reflect the potential impact to consumers if Party's and Service Providers are not aligned in the delivery of core industry processes.
- Operational Efficiency – score of 2 to reflect the potential impact to Party's operations if systems and processes are designed inconsistently with other Market Participants.

Urgency:

- This Change Proposal has been given an urgency score of 2 to reflect the need for this to be progressed quickly to target the next available REC release but does not require an urgent or non-standard timetable for this to be achieved.

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PRIORITISATION MATRIX

Significance	Score	Weighting
Legal/Regulatory Compliance	2	30
Strategic Direction	1	20
Cost Benefits / Value	0	10
Consumer Impacts	2	30
Operational Efficiency	2	10

Urgency				
3	N/A	13	23	33
2	02	12	22	32
1	01	11	21	31
0	00	10	20	N/A
				Significance

Weighted Significance Score	2
Urgency	2
Overall Priority Score	**22**

Effort	0 - Minimal

Priority Score	Priority Status
23 - 33	Critical
13 - 22	High
11 - 21	Medium
00 - 10	Low

PRIORITY STATUS
High

7 SUMMARY OF CHANGE PROPOSAL PLAN

The Code Manager has already assessed the impacts of this Change Proposal and identified the required changes. We do not consider that additional solution development or impact assessment is required for this change.

	FROM	TO
DEADLINE FOR ALTERNATIVE CHANGE PROPOSAL SUBMISSION	22/10/2021	22/10/2021
PRELIMINARY CHANGE REPORT ISSUED	22/10/2021	22/10/2021
CONSULTATION ISSUED	03/11/2021	24/11/2021
FINAL CHANGE REPORT ISSUED	30/11/2021	30/11/2021
RESPONSIBLE COMMITTEE DECISION	07/12/2021	07/12/2021
APPEAL WINDOW CLOSURE	23/12/2021	23/12/2021

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8 GLOSSARY

TERM	DEFINITION
Authority	means the Gas and Electricity Markets Authority (GEMA) as established under section 1 of the Utilities Act 2000.
Market Participant	means a participant in either or both of the gas and electricity markets of Great Britain, each of which is identified by a Market Participant Role.
Retail Code Consolidation	means the Significant Code Review of that name launched by the Authority in November 2019.
Significant Code Review	means a review of one or more matters by the Authority which the Authority considers is: (a) related to a Code (whether on its own or together with other Energy Code(s); and (b) likely to be of significance in relation to the Authority's principal objective and/or general duties (as set out in section 3A of the Electricity Act and section 4AA of the Gas Act), statutory functions and/or relevant obligations arising under Law, and concerning which the Authority has issued a notice that the review will constitute a significant code review
Switching Programme	means the programme established by the Authority to implement new switching arrangements in retail energy markets.