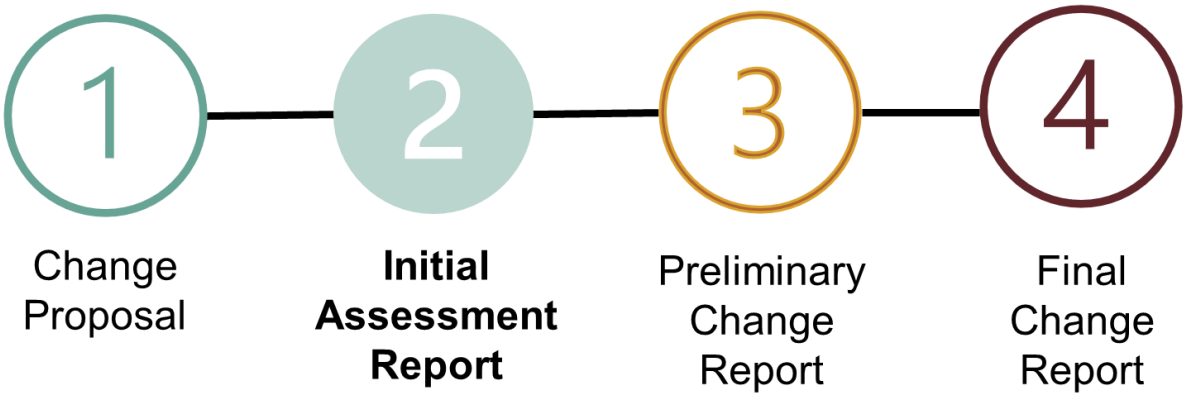
RETAIL ENERGY CODE

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Information is for public, unrestricted dissemination and publication.

INITIAL ASSESSMENT REPORT



PURPOSE:	This is a written report from the Code Manager setting out their initial assessment of the Change Proposal and planned next steps for progressing the proposal.
NOTES:	The Initial Assessment Report is accompanied by a Change Proposal Plan, which describes the Code Manager's plan for progressing this Change Proposal. The solution will not be finalised until the publication of the Preliminary Change Report.
NEXT STEPS:	The Change Proposal Plan will set out the next steps for progressing this Change Proposal. The Code Manager will either propose additional activities to develop and/or assess the solution, or immediately prepare and publish the Preliminary Change Report.

INITIAL ASSESSMENT REPORT

R0036 – EXTENSIVE HOUSEKEEPING AMENDMENTS

DOCUMENT VERSION	1.0
DATE ISSUED	22/04/2022
DOCUMENT APPROVAL STATUS	APPROVED BY REC CHANGE PANEL
RESPONSIBLE COMMITTEE	CHANGE PANEL

CHANGE OVERVIEW

This housekeeping Change Proposal seeks to make typographical and grammatical changes that have been identified following implementation of the REC. It will also provide clarifications and improve consistency across the REC.

Additionally, SPAA CP 496 (MAM to CDSP Update of MAP ID and MTD) was implemented prior to the designation of the REC, however, the legal text was not updated into the REC at that time. The relevant system changes to give effect to SPAA CP 496 were made by Xoserve in November 2021. As a result, we need to update the relevant sections of the Meter Operations Schedule to allow the Meter Asset Provider ID to be sent. The affected Paragraphs can be found in the attached spreadsheet.

	High Impact: None
	Medium Impact: None
	Low Impact: Energy Suppliers Distribution Network Operators Gas Transporters Metering Equipment Managers Data Collectors Meter Administrators Data Communication Company REC Service Users Automated Meter Reading Service Providers Non-Party REC Service Users

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LEAD ANALYST
Jonathan Holland
EMAIL

5 SUMMARY

The Code Manager has identified a number of typographical and grammatical errors, as well as opportunities to introduce clarifications and improve consistency across the REC products.

The Code Manager has also identified a Change Proposal (SPAA CP 496), implemented in a previous code, was not fully reflected in the REC V2 drafting.

- SPAA CP 496 - MAM to CDSP Update of MAP ID and MTD
    - The Central Data Service provider (CDSP) is required to provide the Meter Asset Provider identity (MAP ID) to the Central Switching System (CSS) via the Gas Retail Data Agent (GRDA). in order to do so the meter asset manager must provide updates to the meter technical details or MAP ID directly to the CDSP to supplement information received from shippers.

This needs to be corrected to ensure the REC accurately reflects the requirements approved by other codes prior to the migration to the REC.

6 PROBLEM STATEMENT

REC Parties and Service Providers rely on the REC to provide a consistent baseline to ensure the industry operates effectively and to give certainty to Parties obligations. There are currently inconsistencies between the drafting of the REC, and the processes operated by REC Parties and Service Providers. This could cause issues in the effective operation and assurance of the retail energy market.

7 USER STORIES

REF.	USER STORIES
MARKET PARTICIPANT USER STORIES	
M1	As a REC Party, I need the REC drafting to be accurate, so I can rely on it to ensure my processes and procedures correctly reflect my obligations. If there are mistakes or omissions, then it could cause issues with the effective operation and assurance of the retail energy market.

8 IMPACTS

REC PARTIES AND SERVICE USERS
Energy Suppliers
Distribution Network Operators
Gas Transporters
Metering Equipment Managers
Data Collectors
Meter Administrators
Data Communication Company
REC Service Users
Automated Meter Reading Service Providers
Non-Party REC Service Users

REC SERVICE PROVIDERS
Central Data Service Provider

REC DOCUMENTS / PRODUCTS
REC Main Body
V3 Schedule 1 - Interpretations and Definitions
Schedule 2 – Transition
Schedule 3 - Accession Agreement
Schedule 5 - Change Management
Schedule 6 - Performance Assurance
Schedule 7 - Energy Theft Reduction
Schedule 8 - Unbilled Energy Code of Practice
Schedule 9 - Qualification and Maintenance
Schedule 10 - Charging Methodology
Schedule 11 - Prepayment Arrangements
Schedule 12 - Data Access
Schedule 13 - Transfer of Consumer Data
Schedule 14 - Metering Operations
Schedule 15 - Metering Accreditation Schedule
Schedule 16 - Smart Meter Installation
Schedule 17 - Secure Data Exchange
Schedule 18 - Green Deal Arrangements
Schedule 19 - Market Exit
V3 - Resolution of Consumer Facing Switching and Billing Issues
ASPCoP
Data Specification
MCoP

Below is a consolidated view of the type of changes that have been identified to REC Schedules:

CHANGE TYPE	COUNT
Typographical	35
Correct the use of a defined term	15
Clarification	8
SPAA CP missing from the REC legal text	7
Process documented incorrectly. However, the process is being correctly followed as per the correction	6
New Interpretation and Definition	2
GRAND TOTAL	**73**

9 CROSS CODE IMPACTS

No Cross Code Impacts have been identified in the development of this proposal.

10 CHANGE PATH AND RESPONSIBLE COMMITTEE

CHANGE PATH	SELF-GOVERNANCE
RESPONSIBLE COMMITTEE	CHANGE PANEL

This is a housekeeping Change Proposal which impacts various REC Schedules and Documents which come under the responsibility of different REC Committees. The decision on the Change Proposal should therefore be made by the Change Panel.

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11 PRIORITY STATUS AND URGENCY

PRIORITY STATUS	HIGH
URGENCY	STANDARD

PRIORITISATION MATRIX

Significance	Score	Weighting
Legal/Regulatory Compliance	1	30
Strategic Direction	0	20
Cost Benefits / Value	0	10
Consumer Impacts	0	30
Operational Efficiency	2	10

Urgency

3	N/A	13	23	33
2	02	12	22	32
1	01	11	21	31
0	00	10	20	N/A

Significance

Weighted Significance Score	1
Urgency	2
Overall Priority Score	**12**

Effort	0 - Minimal

Priority Score	Priority Status
23 - 33	Critical
13 - 22	High
11 - 21	Medium
00 - 10	Low

PRIORITY STATUS
Medium

The Priority Status for this Change Proposal is ‘Medium’ based on a ‘Significance’ score of ‘1’ and an ‘Urgency’ score of ‘2’. This is broken down as below:

Significance:

- Legal/Regulatory Compliance – score of 1 to reflects that there is minor inconsistency in the current REC drafting that could result in REC Parties operating the correct industry process, but appearing to be non-compliant with the REC. This also considers the risk of a REC Party designing their systems and processes based on the current drafting in the REC where this does not reflect the agreed industry process.
- Strategic Direction – score of 0 because this change does not support the implementation of the REC Strategy and Strategic Objectives.
- Consumer Impacts – score of 0 as there are no Consumer impacts relating to this Change Proposal. The changes proposed are already being followed by Parties and Service Provider.
- Operational Efficiency – score of 2 to reflect the potential impact to Parties operations if systems and processes are designed inconsistently with other Market Participants.

Urgency:

- This Change Proposal has been given an urgency score of 2 to reflect the need for this to be progressed quickly to target the next appropriate REC release but does not require an urgent or non-standard timetable for this to be achieved.

12 SUMMARY OF CHANGE PROPOSAL PLAN

The Code Manager has already assessed the impacts of this Change Proposal and identified the required changes. We do not consider that additional solution development or impact assessment is required for this change. The Preliminary Change Report will be issued for a 15 Working Day consultation on 08 July 2022, without first being presented to the REC Change Panel for review.

	FROM	TO
LEGAL REVIEW OF DRAFT LEGAL TEXT	04/05/2022	18/05/2022
CREATION OF LEGAL TEXT IN THE EMAR	27/05/2022	14/06/2022
DEADLINE FOR ALTERNATIVE CHANGE PROPOSAL SUBMISSION	08/07/2022	08/07/2022
PRELIMINARY CHANGE REPORT ISSUED	08/07/2022	08/07/2022
CONSULTATION PERIOD	08/07/2022	01/08/2022
FINAL CHANGE REPORT ISSUED	09/09/2022	09/09/2022
RESPONSIBLE COMMITTEE DECISION	20/09/2022	20/09/2022
APPEAL WINDOW CLOSURE	26/09/2022	10/10/2022

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13 GLOSSARY

TERM	DEFINITION
Authority	means the Gas and Electricity Markets Authority (GEMA) as established under section 1 of the Utilities Act 2000.
Automated Meter Reading Service Providers Code of Practice for Gas Meter (ASPCoP)	means the Code of Practice of that name against which relevant service providers are assessed, pursuant to the Metering Accreditation Schedule.
Central Data Service Provider (CDSP)	means the 'Central Data Service Provider' appointed by Gas Transporters pursuant to standard special condition A15 (Central Data Service Provider) of the Gas Transporter Licences.
Central Switching System (CSS)	means the Systems and processes that are to be developed by (or the development of which will be procured by) the CSS Provider pursuant to the Transition Schedule in order to deliver the services which will become the Address Management Service and the Registration Service.
Code of Practice for Gas Metering Equipment Managers and Approved Meter Installers (MCoP)	means the Metering Code of Practice against which gas MEMs and Meter Installers are assessed, pursuant to the Metering Accreditation Schedule.
Distribution Network Operator (DNO)	means a person holding an Electricity Distribution Licence.
Gas Retail Data Agent (GRDA)	is one of a number of Switching Data Service Providers, as defined in the Interpretations Schedule and is therefore captured within the scope of the overall switching service management arrangements, as defined in the Service Management Schedule.
Market Participant	means a participant in either or both of the gas and electricity markets of Great Britain, each of which is identified by a Market Participant Role.
Meter Asset Provider (MAP)	means the person that makes the Metering Asset for an RMP available for use by the Registered Supplier and the Consumer.
Meter Asset Manager (MAM)	now known as MEM under the REC.
Meter Equipment Manager (MAM)	means, as applicable, either: (a) for electricity, the Meter Operator Agent (as defined in the BSC) Appointed by an Electricity Supplier; or (b) for gas, the Meter Asset Manager (as defined in the UNC) Appointed by the Gas Supplier.
Meter Technical Details (MTD)	means all the relevant information about Energy meters required by the Registered Energy Supplier, Supplier Agents, Distribution Network Operator and/or Gas Transporter to carry out its duties as such under this Code and other Energy Codes.
Retail Code Consolidation	means the Significant Code Review of that name launched by the Authority in November 2019.
Retail Energy Code (REC)	means this Code, including its Schedules and the Technical Specification, maintained pursuant to the electricity supply licences granted under the Electricity Act 1989 and the gas supply licences

	granted under the Gas Act 1986, as such code is modified from time to time in accordance with its provisions.
Significant Code Review	means a review of one or more matters by the Authority which the Authority considers is: (a) related to a Code (whether on its own or together with other Energy Code(s); and (b) likely to be of significance in relation to the Authority's principal objective and/or general duties (as set out in section 3A of the Electricity Act and section 4AA of the Gas Act), statutory functions and/or relevant obligations arising under Law, and concerning which the Authority has issued a notice that the review will constitute a significant code review
Switching Programme	means the programme established by the Authority to implement new switching arrangements in retail energy markets.