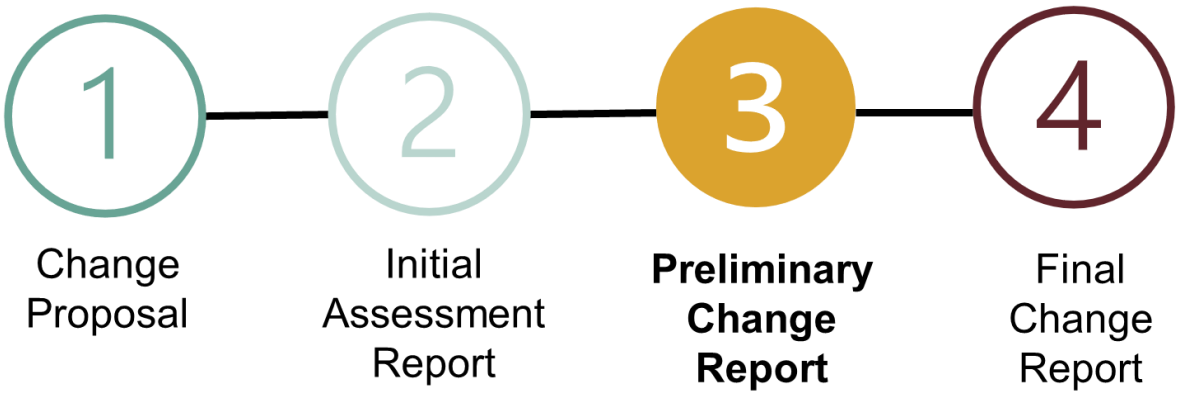
RETAIL ENERGY CODE

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PRELIMINARY CHANGE REPORT



PURPOSE:	The Preliminary Change Report is drafted by the Code Manager when a Change Proposal has a fully formed solution that has been sufficiently assessed to allow a decision to be made on whether this should be approved for implementation. The report includes a summary of the Change Proposal, details of the proposed solution and the Code Manager's view on the case for change and recommendation.
NOTES:	The report sets out the minded-to position, prior to formal consultation, and may be changed when publishing the Final Change Report.
NEXT STEPS:	The Preliminary Change Report will be issued for consultation. Following this, the Code Manager will produce a Final Change Report and the Responsible Committee will make a decision on whether to approve the Change Proposal.

RETAIL ENERGY CODE

01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

PRELIMINARY CHANGE REPORT

R0036 – EXTENSIVE HOUSEKEEPING AMENDMENTS

DOCUMENT VERSION	1.0
DATE ISSUED	02/09/2022
DOCUMENT APPROVAL STATUS	PUBLISHED FOR CONSULTATION

RESPONSIBLE COMMITTEE	CHANGE PANEL

LEAD ANALYST	JONATHAN HOLLAND	EMAIL

CHANGE OVERVIEW

This housekeeping Change Proposal seeks to make typographical and grammatical corrections that have been identified and clarifications and improve consistency across the REC.

Additionally, SPAA CP 496 (MAM to CDSP Update of MAP ID and MTD) was implemented prior to the designation of the REC, however, the legal text was not updated into the REC at designation. The relevant system changes to give effect to SPAA CP 496 were made by Xoserve in November 2021. As a result, the relevant sections of the Meter Operations Schedule need to be updated to allow the Meter Asset Provider ID to be sent the CDSP to supplement information received from shippers.

	High Impact: None
	Medium Impact: None
	Low Impact: Energy Suppliers, Distribution Network Operators, Gas Transporters, Metering Equipment Managers, Data Collectors, Meter Administrators, Data Communication Company, REC Service Users, Automated Meter Reading Service Providers and Non-Party REC Service Users

CODE MANAGER'S RECOMMENDATION

The Code Manager recommends Change Proposal R0036 is **APPROVED** for implementation on 04 November 2022.

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BACKGROUND

1 SUMMARY

The Code Manager has identified a number of typographical and grammatical errors, as well as opportunities to introduce clarifications and improve consistency across the REC products.

The Code Manager has also identified a Change Proposal (SPAA CP 496), implemented in a previous code, that was not fully reflected in the REC V2 drafting which went live in September 2021.

- SPAA CP 496 - MAM to CDSP Update of MAP ID and MTD
    - The Central Data Service provider (CDSP) is required to provide the Meter Asset Provider identity (MAP ID) to the Central Switching System (CSS) via the Gas Retail Data Agent (GRDA). in order to do so the meter asset manager must provide updates to the meter technical details or MAP ID directly to the CDSP to supplement information received from shippers.

This needs to be corrected to ensure the REC accurately reflects the requirements approved by other codes prior to the migration to the REC.

2 PROBLEM STATEMENT

REC Parties and Service Providers rely on the REC to provide a clear, accurate and consistent baseline to ensure the industry operates effectively and to give certainty to Parties obligations. There are currently inconsistencies between the drafting of the REC, and the processes operated by REC Parties and Service Providers. This could cause issues in the effective operation and assurance of the retail energy market.

3 USER STORIES

REF.	USER STORIES
MARKET PARTICIPANT USER STORIES	
M1	As a REC Party, I need the REC drafting to be accurate, so I can rely on it to ensure my processes and procedures correctly reflect my obligations. If there are mistakes or omissions, then it could cause issues with the effective operation and assurance of the retail energy market.

4 DELIVERY AGAINST CHANGE PROPOSAL PLAN

To date all activity has been completed within the planned timescales.

5 KEY RISKS, ASSUMPTIONS, ISSUES AND DEPENDENCIES

5.1 RISKS AND ISSUES

No.	RISK	NOTES	MITIGATION
R1	There is a risk that there are other omissions from the REC that haven't been identified by the Code Manager.	There may be other omissions or inaccuracies in the REC that have not been identified by the Code Manager in this Change Proposal. The Code Manager does not propose to delay the progression of this Change Proposal to complete a full review of all REC Schedules but will continue to review these periodically and address any future issues if they arise.	If other issues are identified during the course of progressing this Change Proposal, a decision will be made to include them in a future Change Proposal.

5.2 ASSUMPTIONS AND DEPENDENCIES

There are no assumptions or dependencies as part of this Change Proposal

6 PROPOSED SOLUTION

The following REC Products are impacted by this Change Proposal:

REC PRODUCTS	REC PRODUCTS
Central Switching Service Definition	Schedule 14 - Metering Operations
Data Specification	Schedule 15 - Metering Accreditation
Electricity Enquiry Service Definition	Schedule 18 - Green Deal Arrangements
Electricity Retail Data Service Definition	Schedule 19 - Market Exit and Supplier of Last Resort
Gas Enquiry Service Definition	Schedule 23 - Registration Services

Gas Retail Data Service Definition	Schedule 24 - Switching Data Management
REC Change Panel - Terms of Reference V1.0	Schedule 25 - Central Switching Service
REC Main Body	Schedule 29 - Address Management
Schedule 1 - Interpretations	Schedule 30 - Resolution of Consumer Facing Switching and Billing Issues
Schedule 10 - Charging Methodology	Schedule 8 - Unbilled Energy Code of Practice
Schedule 11 - Prepayment Arrangements	Schedule 9 - Qualification and Maintenance
Schedule 12 - Data Access	Switching Operator Service Definition

The following type of changes are proposed as part of this Change Proposal:

CHANGE TYPE	TOTAL NUMBER OF AMENDMENTS
Typographical	84
Correct the use of a defined term	35
Clarification	18
SPAA CP information missing from REC	9
New Interpretation and Definition	4
Process documented incorrectly. However, the process is being correctly followed as per the correction	2
Addition of acronym	1
Formatting	1
TOTAL	**154**

7 DRAFT LEGAL TEXT

The legal text changes to deliver the proposed solution to R0036 can be found here.

8 PROPOSED IMPLEMENTATION DATE AND APPROACH

PROPOSED IMPLEMENTATION DATE	04 NOVEMBER 2022

PROPOSED IMPLEMENTATION APPROACH	BIG BANG

We propose this change is implemented in the next available standard REC release on 04 November 2022, as the next available REC release.

9 CHANGE PATH

CHANGE PATH	SELF-GOVERNANCE

10 RESPONSIBLE COMMITTEE

RESPONSIBLE COMMITTEE	CHANGE PANEL

11 BUSINESS CASE ASSESSMENT

There is no additional cost or impact identified from this change, and this reflects the original policy intention of the REC.

In respect of SPAA CP 496 - MAM to CDSP Update of MAP ID and MTD, Market Participants and Service Providers have already implemented the Change Proposal that is missing from the REC drafting, when it was implemented in previous codes. The correction of this omission, and other typographical errors, will ensure that the REC reflects the current market arrangements and remove the risk of new Market Participants interpreting their requirements incorrectly.

As there is no cost associated with this Change Proposal, and it will improve the accuracy and clarity of the REC, we consider that a positive business case exists for implementing this Change Proposal.

12 CASE FOR CHANGE

The Code Manager considers that there is a positive case for change to implement this Change Proposal, on the basis that:

- The proposed solution is fully formed and appropriately resolves the problem statement.
- There are no identified additional costs to the market in implementing the proposed solution.

- The impact of not implementing this solution would cause detriment to Market Participants, as the REC would not reflect the current market arrangements and could result in new Market Participants interpreting processes differently to existing Market Participants.
- A positive business case can be made, as detailed in section 11 of this report.

13 CODE MANAGER'S RECOMMENDATION

Based on the evidence in sections 11 and 12 of this report, the Code Manager considers a positive business case can be made for implementing this solution and recommends that the Change Panel:

- **APPROVE** the proposed change solution;
- **APPROVE** the proposed implementation approach; and
- **APPROVE** the proposed implementation date of 04 November 2022.

14 CONSULTATION PLAN AND NEXT STEPS

The consultation on this Preliminary Change Report will be published on the REC Portal on **02 September 2022** and will close on **23 September 2022.**

Following consultation, the Code Manager will produce an updated version of this Preliminary Change Report (referred to as the Final Change Report). This will include the outcome of the consultation, setting out a summary of the consultation submissions and the Code Manager's response.

The Final Change Report will be issued to the Change Panel to make its determination.

15 APPENDIX

CHANGE PROPOSAL	Link
LEGAL DRAFTING	EMAR Link Part 1 EMAR Link Part 2
PROPOSED CHANGES v2.0	Link
VARIANTS ONJOB FLOWS - MARKET PARTICIPANT MANDATORY V1.0	Link