RETAIL ENERGY CODE

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01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

INITIAL ASSESSMENT REPORT

R0082 – FORMALISING THE SUBMISSION OF PPMIP UNALLOCATED TRANSACTION REPORT (UTR) FILES

DOCUMENT VERSION	V2.0
DATE ISSUED	17/10/2023
DOCUMENT APPROVAL STATUS	APPROVED

RESPONSIBLE COMMITTEE	CHANGE PANEL	CHANGE PATH	SELF GOVERNANCE
PRIORITY STATUS	LOW	URGENCY	STANDARD

DEADLINE FOR ALTERNATIVE CHANGE PROPOSALS	26/04/2024

LEAD CHANGE ANALYST



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CHANGE OVERVIEW

This change seeks to ensure that Unallocated Transaction Report (UTR) files are provided to the Code Manager in a consistent format across all Prepayment Meter Infrastructure Providers (PPMIPs), with this format being one which can be loaded by the EES Provider. A clear obligation to provide these files on a monthly basis will also be incorporated.

	High Impact: N/A
	Medium Impact: N/A
	Low Impact: Suppliers, PPMIPs, EES Provider, Code Manager

1 BACKGROUND

R0082 has been raised to introduce a requirement in the REC for PPMIPs to provide monthly Unallocated Transaction Reports (UTR) files to the Code Manager in a single file format, set out in the REC Data Specification. With this format being one that can be loaded by the EES Provider.

As PPMIPs are providing files in two different file formats, the files must be edited prior to being loaded into the EES Provider's system.

The reason for the differing file formats is that in June 2021, the Master Registration Agreement (MRA) change MAP C0339 'Changes to MAP 14' introduced five new Data Items in the Unallocated Transaction Report, namely:

1. Vend Site ID (E0008)
2. NSP ID (E00007)
3. Multiple Supplier Report Indicator
4. Last known MPAN (J0003)
5. Last known Supplier Id (J0002)

Some PPMIPs include these additional fields in their UTR reports, whilst others do not.

2 PROBLEM STATEMENT

PPMIPs aren't submitting UTR files in the same format, and one of these formats cannot be loaded by the EES Provider. There is also no obligation in the REC for these reports to be provided.

3 USER STORIES

REF.	USER STORIES
MARKET PARTICIPANT USER STORIES	
M1	As a PPMIP, I want to have clear guidance on how and when I should submit my Unallocated Transaction Report files.
M2	As a Supplier, I want to receive accurate Unallocated Transactions in relation to prepayment meters that are not smart meters.
M3	As the Code Manager, I want visibility of the Unallocated Transaction Report.
M4	As the EES Provider, I want to be able to efficiently upload the Unallocated Transaction Reports.

4 IMPACTS

CONSUMERS	REC SERVICE PROVIDERS	RECCO / REC CODE MANAGER
None Identified	EES Provider	Code Manager

REC PARTIES AND SERVICE USERS	REC DOCUMENTS / PRODUCTS
Electricity Suppliers	Schedule 11
PPMIPs	REC Data Specification

5 CROSS CODE IMPACTS

No cross-code impacts have been identified.

6 CHANGE PATH AND RESPONSIBLE COMMITTEE

CHANGE PATH	SELF-GOVERNANCE	RESPONSIBLE COMMITTEE	CHANGE PANEL

The Responsible Committee has been determined to be the Change Panel as it is responsible for Schedule 11 – Prepayment Arrangements as detailed in the REC Baseline Statement.

7 PRIORITY STATUS AND URGENCY

Category	Significance / Resource impacts / Urgency	Further selection / breakdown	Score (0-3)	Weighting	Weighted Score
Impacts	Consumer Impact		0	27	0
	Retail Markets Impact		1	17	17
	RECCo / REC Party / REC Service Provider Impact	Operational Effectiveness and Efficiencies	1	7	7
		Legal and Regulatory Compliance	1	14	14
Resource	Complexity / Effort / Duration		1	9	9
Strategic Alignment	Contribution to/ Alignment with the objectives of the REC		0	17	0
	Contribution to/ Alignment with RECCo's Forward Work Plan		0		0
Urgency *(The need to progress a change to meet a targeted date)*		Cross Code Activity	1	10	10
				Overall Priority Score (rounded)	57

Priority Status	LOW
Change Proposal Type	STANDARD

This change has been determined as having a low priority score of 57 and an urgency score of 1 due to it aligning with a Codes Roadmap epic.

A score of 0 was provided for Consumer impact and scores of 1 were given for retail markets impact, operational effectiveness and efficiencies, legal and regulatory compliance, and resource.

8 SUMMARY OF CHANGE PROPOSAL PLAN

A period of solution development will be undertaken to understand which PPMIPs are using which file type and the usefulness of the additional data items from MAP C0339. This will be completed through engagement at the Prepayment Metering Forum (PPMF) and a Request for Information.

This will be followed by period of Service Provider Impact Assessment. Note: if the additional data items are not deemed to be useful to industry, this period may not be required.

A standard period of Party Impact Assessment will be completed to understand the implications of the new obligation on Parties and the impacts of any changes to the file type.

The Preliminary Change Report will then be published alongside a 10-working day Consultation on 26 April 2024.

The Final Change Report will then be published on 24 May 2024 in preparation for decision by the Change Panel on 04 June 2024.

	FROM	TO
SOLUTION ANALYSIS AND DEVELOPMENT	17/10/2023	12/01/2024
SERVICE PROVIDER IMPACT ASSESSMENT	15/01/2024	11/03/2024
PARTY IMPACT ASSESSMENT	22/03/2024	17/04/2024
PRELIMINARY CHANGE REPORT AND CONSULTATION ISSUED	26/04/2024	26/04/2024
CONSULTATION	26/04/2024	13/05/2024
FINAL CHANGE REPORT ISSUED	24/05/2024	24/05/2024
RESPONSIBLE COMMITTEE DECISION	04/06/2024	04/06/2024
APPEAL WINDOW CLOSURE	18/06/2024	18/06/2024

9 GLOSSARY

TERM	DEFINITION
Consumer	means any person supplied (or seeking a supply) of Energy at an RMP (whether or not that person also exports or is seeking to export electricity at an RMP).
Data Specification	means the document of that name forming part of the Technical Specification.
Electricity Enquiry Service (EES) Provider	means the provider of the Electricity Enquiry Service.
Electricity Supplier	means a person holding an Electricity Supply Licence.
Master Registration Agreement (MRA)	means the Master Registration Agreement referred to in the Electricity Supply Licences.
Prepayment Meter Infrastructure Provider (PPMIP)	means, for each Energy Supplier and fuel, the contracted service provider for prepayment services to support that Energy Supplier's Prepayment Meters for that fuel.
Unallocated Transaction	means a Prepayment Meter transaction for which the correct Energy Supplier cannot be identified by the PPMIP.