RETAIL ENERGY CODE

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01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

INITIAL ASSESSMENT REPORT

R0118 – REVIEW OF SCHEDULE 12 AND PROCESSES TO MANAGE ACCESS TO DATA

DOCUMENT VERSION	V1.0
DATE ISSUED	25/08/2023
DOCUMENT APPROVAL STATUS	APPROVED

RESPONSIBLE COMMITTEE	CHANGE PANEL	CHANGE PATH	SELF GOVERNANCE
PRIORITY STATUS	HIGH	URGENCY	STANDARD

DEADLINE FOR ALTERNATIVE CHANGE PROPOSALS	08/09/2023

LEAD CHANGE ANALYST



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CHANGE OVERVIEW

A process which negates the restrictions on data accessibility and is adaptable enough to accommodate ongoing changes within the energy industry is required. This will enable valid data access use case permissions to be reflected within the Enquiry Services, Schedule 12 and the Data Access Matrices (DAM).

	High Impact: N/A
	Medium Impact: Code Manager, EES Provider, GES Provider, Enquiry Service Users.
	Low Impact: Consumers

1 BACKGROUND

The Enquiry Services have been receiving a growing number of requests from users whose access use cases are valid, but they are not yet listed within Schedule 12. These users must go through the formal change process to have their market roles and access permissions included in Schedule 12 and the Data Access Matrix (DAM). A process which negates the restrictions on data accessibility and is adaptable enough to accommodate ongoing changes within the energy industry is required.

RECCo has also identified an opportunity for Consumers to benefit from improved product and service offerings through an Open First Culture. Further work is being progressed and is highlighted in RECCo's Open Data Review paper.

The implementation of R0118 will assist in the move towards classification-based access within the REC which will be addressed through a later Change Proposal.

2 PROBLEM STATEMENT

Organisations with valid use cases for REC data access must raise a Change Proposal as they are not listed within Schedule 12 or the Data Access Matrix. This is a time-consuming process which delays the implementation of the required access and does not promote innovation, competition, and positive Consumer outcomes.

3 USER STORIES

REF.	USER STORIES
CONSUMER USER STORIES	
C1	As a Consumer, I want Market Participants to have the appropriate data access so any issues affecting my energy account can be addressed easily.
C2	As a Consumer, I want Market Participants to have appropriate data access so that I can benefit from improved product and service offerings.
MARKET PARTICIPANT USER STORIES	
M1	As a Market Participant, I want the processes that grant data access to be simplified and adaptable so that valid data use cases are addressed quickly.

4 IMPACTS

REC SERVICE PROVIDERS
EES Provider
GES Provider

RECCO / REC CODE MANAGER
RECCo
Code Manager

REC PARTIES AND SERVICE USERS
All Enquiry Service Users

REC DOCUMENTS / PRODUCTS
Schedule 12 – Data Access
Electricity DAM
Gas DAM
PAB Procedures
Enquiry Service User Categories and Purposes (New Category 3)

R0118 will affect any energy industry Market Participant or wider organisation with a requirement to access REC data and the Enquiry Services. It will impact the Electricity Enquiry Service (EES) Provider, Gas Enquiry Service (GES) Provider, Code Manager and RECCo by introducing a more streamlined process for providing access to data.

5 CROSS CODE IMPACTS

This change has no cross-code impacts.

6 CHANGE PATH AND RESPONSIBLE COMMITTEE

CHANGE PATH	SELF GOVERNANCE	RESPONSIBLE COMMITTEE	CHANGE PANEL

This change will impact documents under the control of the Change Panel, Performance Assurance Board, and the Technical Expert Panel. It is believed that the Change Panel is the most suitable Responsible Committee as it is responsible for Schedule 12 – Data Access and the only Category 1 document within the scope of the Change Proposal.

7 PRIORITY STATUS AND URGENCY

Category	Significance / Resource impacts / Urgency	Further selection / breakdown	Score (0-3)	Weighting	Weighted Score
Impacts	Consumer Impact	Indirect	1	27	27
	Retail Markets Impact	Innovation and Market Arrangements	3	17	50
	RECCo / REC Party / REC Service Provider Impact	Operational Effectiveness and Efficiencies	3	7	20
		Legal and Regulatory Compliance	0	14	0
Resource	Complexity / Effort / Duration		1	9	9
Strategic Alignment	Contribution to/ Alignment with the objectives of the REC		2	17	17
	Contribution to/ Alignment with RECCo's Forward Work Plan		2		17
Urgency *(The need to progress a change to meet a targeted date)*		Aligned to planned Code Roadmap activity	2	10	20
				Overall Priority Score (rounded)	159

Priority Status	HIGH
Change Proposal Type	STANDARD

This Change Proposal has a high priority based on an urgency score of 2 and a priority status of 159.

An urgency score of 2 has been given as R0118 will provide a route to better data classification and access as included within the REC Codes Roadmap.

A score of 3 was given for both innovation and market arrangements and operational effectiveness and efficiencies. An updated route to data access will enable innovative market roles, service offerings and a quicker resolution of data access requests.

Whilst there are no direct impacts to Consumers, Consumers will benefit from innovative service offerings and this Change Proposal will help facilitate the data access required for new Market Participants to carry out their roles.

8 SUMMARY OF CHANGE PROPOSAL PLAN

This Change Proposal has a fully formed solution and will proceed directly to the Preliminary Change Report and Consultation. The Code Manager has not identified any negative impacts on REC Parties and therefore, this Change Proposal plan has a shortened (10WD) Consultation period.

Following Consultation, the Final Change Report will be published on 06 October 2023 in preparation for a Responsible Committee decision on 17 October 2023.

	FROM	TO
PRELIMINARY CHANGE REPORT AND CONSULTATION ISSUED	08/09/2023	08/09/2023
CONSULTATION	08/09/2023	22/09/2023
FINAL CHANGE REPORT ISSUED	06/10/2023	06/10/2023
RESPONSIBLE COMMITTEE DECISION	17/10/2023	17/10/2023
APPEAL WINDOW CLOSURE	31/10/2023	31/10/2023

9 GLOSSARY

TERM	DEFINITION
Change Panel	means the Sub-Committee of that name.
Data Access Matrix (DAM)	means the matrix describing which Enquiry Service Data each Enquiry Service User Category can access, which forms part of the Data Specification and is updated in accordance with the Data Access Schedule.
Electricity Enquiry Service (EES)	means the service described as such in the EES Service Definition.
Electricity Enquiry Service Provider	means the provider of the Electricity Enquiry Service.
Gas Enquiry Service (GES)	means: (a) until CSS Go-Live Date, the enquiry service provided pursuant to the UNC which allows authorised users to access Gas Retail Data; and (b) from CSS Go-Live Date, the service of that name procured by RECCo pursuant to the Data Access Schedule.
Gas Enquiry Service Provider	means the provider of the Gas Enquiry Service.
Market Participant	means a participant in either or both of the gas and electricity markets of Great Britain, each of which is identified by a Market Participant Role.
REC Performance Assurance Board	means the performance assurance board for this Code, as from time to time established under Clause 15 of the main body of this Code (which is a Sub-Committee).