RETAIL ENERGY CODE

This document is classified as **WHITE**.
Information is for public, unrestricted dissemination and publication.

01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

INITIAL ASSESSMENT REPORT

R0154 – PERFORMANCE ASSURANCE REPORT CATALOGUE V5.1

DOCUMENT VERSION	V1.0
DATE ISSUED	19/12/2023
DOCUMENT APPROVAL STATUS	APPROVED

RESPONSIBLE COMMITTEE	PERFORMANCE ASSURANCE BOARD	CHANGE PATH	SELF-GOVERNANCE
PRIORITY STATUS	MEDIUM	URGENCY	STANDARD

DEADLINE FOR ALTERNATIVE CHANGE PROPOSALS	05/01/2024

LEAD CHANGE ANALYST



Lydia Bentley

Click to send an email

020 3922 0748

CHANGE OVERVIEW

Following their annual review, the REC Performance Assurance Board (PAB) have suggested updates to the Performance Assurance Report Catalogue (PARC). This change seeks to implement those updates, thereby introducing the newest version of the PARC.

	High Impact: N/A
	Medium Impact: N/A
	Low Impact: Suppliers

1 BACKGROUND

REC Parties and service providers must undergo Performance Assurance as part of their obligations under the REC, as outlined in Schedule 6 – Performance Assurance. Performance Assurance is conducted in a number of ways, one of which is the completion of Performance Reports. These Performance Reports are outlined in the Category two document Performance Assurance Report Catalogue (PARC).

The REC Performance Assurance Board (PAB) are responsible for proposing updates to the PARC as part of their annual view. This change seeks to update the PARC at PAB's recommendation to reflect changes they are suggesting.

2 PROBLEM STATEMENT

The REC Performance Assurance Board has reviewed the Performance Assurance report catalogue (PARC) and proposed changes to update the PARC to version 5.1. As the PARC is a Category two document, implementation of the proposed PARC changes must therefore follow the change process.

3 USER STORIES

REF.	USER STORIES
CONSUMER USER STORIES	
C1	As a Consumer, I want parties who handle my energy account information to be held to high standards, so that I have confidence in their management of my information.
MARKET PARTICIPANT USER STORIES	
M1	As a REC Party and/or Service Provider, I want the performance assurances standards to which I am held to be regularly reviewed, so that I have confidence in monitoring activities.

4 IMPACTS

REC PARTIES AND SERVICE USERS
Suppliers
DNOs & GTs
MEMs

REC DOCUMENTS / PRODUCTS
Performance Assurance Report Catalogue

RECCO / REC CODE MANAGER
REC Performance Assurance Board

There is minimal impact for REC parties, as the proposed solution will result in a reduction in performance assurance requirements. There is one substantive impact, however, as one of the PARC changes will result in a change in how energy theft is reported, but weighed against the reduction in performance assurance activities, the Code Manager considers impact overall to be minimal.

The REC PAB will be impacted as their performance assurance activities with REC parties will change as the PARC is updated. The PARC document itself will also be updated. The Code Manager does not expect any impact on Consumers. This change will likely have only the most tangential indirect impact on Consumers.

5 CROSS CODE IMPACTS

There are no cross-code impacts.

6 CHANGE PATH AND RESPONSIBLE COMMITTEE

CHANGE PATH	Self-Governance

RESPONSIBLE COMMITTEE	Performance Assurance Board

This change will follow a Self-Governance change path.

The Responsible Committee for making self-governance decisions on changes to the Performance Assurance Report Catalogue is the PAB as set out in the REC Baseline Statement.

7 PRIORITY STATUS AND URGENCY

PRIORITISATION MATRIX

Category	Significance / Resource impacts / Urgency	Further selection / breakdown	Score (0-3)	Weighting	Weighted Score
Impacts	Consumer Impact	Indirect	1	25	25
	Retail Markets Impact	Innovation and Market Arrangements	1	15	15
	RECCo / REC Party / REC Service Provider Impact	Operational Effectiveness and Efficiencies	1	5	5
		Legal and Regulatory Compliance	1	13	13
Resource	Complexity / Effort / Duration		3	8	23
Strategic Alignment	Contribution to/ Alignment with the objectives of the REC		1	15	8
	Contribution to/ Alignment with RECCo's Forward Work Plan		0		0
Urgency *(The need to progress a change to meet a targeted date)*		Other Planned Activity (High Priority activity)	2	20	40
				Overall Priority Score (rounded)	128

Priority Score	Priority Status
171 and above	Critical
151 to 170	High
101 to 150	Medium
0 to 100	Low

Priority Status	MEDIUM
Change Proposal Type	STANDARD

Urgent Change Proposals meet the Criteria for Urgency as set out by Ofgem

This change has a priority rating of Medium, based on a score of 128.

- Urgency has been ranked as two, indicating a moderate urgency. This change needs to be implicated by the start of April 2024. The proposed solution involves changes to theft reporting – The start of the theft reporting period is April 2024, so these changes must be in place by then.
- Complexity/Effort/Duration has been ranked as three, indicating a low complexity. This is a straightforward change, with a pre-defined solution, and minimal industry impact.

All other scores have been ranked as one, as appropriate.

8 SUMMARY OF CHANGE PROPOSAL PLAN

This change seeks only to introduce an updated version of the PARC, with no other changes to REC products or processes planned. Whilst we have outlined the major milestones in this change and brought them within scope, we anticipate that solution development and impact analysis may yield outcomes that may require us to re-evaluate the scope of this change.

	FROM	TO
PRELIMINARY CHANGE REPORT AND CONSULTATION ISSUED	05/01/2024	05/01/2024
CONSULTATION	05/01/2024	26/01/2024
FINAL CHANGE REPORT ISSUED	16/02/2024	16/02/2024
RESPONSIBLE COMMITTEE DECISION	27/02/2024	27/02/2024
APPEAL WINDOW CLOSURE	12/03/2024	12/03/2024

9 GLOSSARY

TERM	DEFINITION
Energy Supplier	means a person which is either or both an Electricity Supplier and/or a Gas Supplier.
Energy Theft	includes: (a) circumstances described in Paragraphs 5(1) (Restoration of connection without consent) and 6(1)(a) (Damage to electrical plant etc) of schedule 6 to the Electricity Act (in so far as they relate to an electricity supplier; (b) circumstances described in Paragraph 6(1)(b) (Damage to electrical plant etc) of schedule 6 to the Electricity Act; (c) circumstances described in Paragraph 11(1) (Interference with meters) of schedule 7 to the Electricity Act; (d) circumstances described in Paragraphs 10(1)(a) and 11(2) of schedule 2B to the Gas Act (in so far as they relate to a gas supplier); and (e) circumstances described in Paragraphs 10(1)(b) and 10(1)(c) of schedule 2B to the Gas Act.
Performance Assurance	means the activities undertaken by the Code Manager and the REC PAB in accordance with the Performance Assurance Schedule.
Performance Reports	means the reports on performance by REC Service Users and REC Service Providers, as further described in the Performance Assurance Schedule and REC Technical Specification.
REC Performance Assurance Board	means the performance assurance board for this Code, as from time to time established under Clause 15 of the main body of this Code (which is a Sub-Committee).